

## PATENT

Attorney's Docket No. \_\_\_\_\_

Applicant or Patentee

TVCOMPASS.COM LTD

Serial or Patent No. \_\_\_\_\_

Filed or Issued: \_\_\_\_\_

For: Communications system and method**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37CFR 1.9(f) and 1.27(c)) — SMALL BUSINESS CONCERN**

I hereby declare that I

- ☐ the owner of the small business concern identified below;  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN

TVCOMPASS.COM LTD

ADDRESS OF CONCERN

5 Hurstock Road, London SW15 2PW, United Kingdom

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed, to and remain with the small business concern identified above with regard to the invention, entitled

Communications system and methodby inventor(s) (1) Ferris, Gavin Robert (2) Florence, Peter Charles

described in

- ☐ the specification filed herewith  
☐ application serial no 0 / \_\_\_\_\_, filed \_\_\_\_\_  
☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention asserting to their status as small entities. (37 CFR 1.27).

(Small Entity Business [7-4]-page 1 of 2)

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐

INDIVIDUAL

☐

SMALL BUSINESS CONCERN

☐

NONPROFIT ORGANIZATION

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐

INDIVIDUAL

☐

SMALL BUSINESS CONCERN

☐

NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small business entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING

Gavin Robert Forris

TITLE OF PERSON OTHER THAN OWNER

TECHNICAL DIRECTOR

ADDRESS OF PERSON SIGNING

FLAT 3, 1ST LONDON RD

NEWTON

LONDON

NW 5 2RG

UK

SIGNATURE



DATE

12 / MAR / 2000

(Small Entity Business [7-4]-page 2 of 2)

Attorneys' Docket No.

USA

**DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**

As below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: Communications system and method

which is described and claimed in:

☐ the attached specification☐ the specification in application Serial No.☒ PCT International Application No.

(if applicable) and amended on

and on

PCT/GB98/02152

filed

filed July 20, 1998

under Article 19 PCT

under Article 34 PCT

I hereby state that I have reviewed and understand the contents of the above-identified application specification, including the claims, as amended by any amendment specifically referred to herein.

I acknowledge the duty to disclose all information known to me that is material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Number	Country	Date Filed	Priority Claimed
<u>9715228.4</u>	<u>United Kingdom</u>	<u>July 18, 1997</u>	<input checked="" type="checkbox"/> yes <input type="checkbox"/> no
_____	_____	_____	<input type="checkbox"/> yes <input type="checkbox"/> no
_____	_____	_____	<input type="checkbox"/> yes <input type="checkbox"/> no
_____	_____	_____	<input type="checkbox"/> yes <input type="checkbox"/> no

I hereby claim the benefit under Title 35, United States Code §1.20, of the United States Application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose all information that is material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56, and which became available to me between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	Status (patented, pending, abandoned)
_____	_____	_____
_____	_____	_____

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

12- I hereby appoint custom 2352 OSTROLENK, FABER, GERB & SOFFEN P, and the members of the firm, Samuel H. Weiner - Reg. No. 18,510; Jerome M. Berliner - Reg. No. 18,652; Robert C. Faber - Reg. No. 21,322; Edward A. Meilman - Reg. No. 24,735; Stanley H. Lieberstein - Reg. No. 22,400; Steven I. Welsburg - Reg. No. 27,409; Max Moskowitz - Reg. No. 30,576; Stephen A. Soffen - Reg. No. 31,063; James A. Finder - Reg. No. 30,172; William O. Grey, III - Reg. No. 30,944; Louis C. Dujmich - Reg. No. 30,625 and Douglas A. Miro - Reg. No. 31,643 jointly, and each of them severally, my attorneys and attorney, with full power of substitution, delegation and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent and to transact all business in the Patent and Trademark Office connected therewith. Please direct all correspondence and telephone calls to:

OSTROLENK, FABER, GERB & SOFFEN LLP.

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CUSTOMER NO. 2352

see change of  
add res included.

1-00 Full Name of first inventor:

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2-00 Full Name of second inventor:

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Citizenship:

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Florance, Peter Charles

London, United Kingdom GBX

United Kingdom

c/o TVCOMPASS.COM LIMITED, 5 Burstock Road,

London SW15 2PW, United Kingdom

Signature of first inventor:

*Gavin Parris*

Date:

12 / Apr / 2000

Signature of second inventor:

Date:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Gavin Robert FERRIS et al.

Date: July 17, 2000

Serial No.: 09/463,033

Intl. Filing date: July 20, 1998

For: COMMUNICATIONS SYSTEM AND METHOD

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Hon. Commissioner of Patents and Trademarks  
Washington, DC 20231

SUBMISSION OF SMALL ENTITY DECLARATION

Sir:

The verified statement claiming small entity status of the assignee of the  
above-identified patent application is enclosed.

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as  
Express Mail Post Office to Addressee (mail label #  
EL084181976US) in an envelope addressed to: Asst.  
Commissioner for Patents, BOX PCT, Washington,  
D.C. 20231, on July 17, 2000:

Dorothy Jenkins

Name of person mailing correspondence



Signature

July 17, 2000

Date of Signature

RCF:ahc:des

Respectfully submitted,



ROBERT C. FABER

Registration No.: 24,322

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